



#5/
A
B
12/31/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
Ford, Robert M.) Group Art Unit: 2766
Serial No.: 09/330,446) Examiner: Leslie K. Nguyen
Filed: June 11, 1999)

For: **SYSTEM AND METHOD FOR MANAGING
TIER-PRICED COMMODITIES TRANSACTIONS**

RECEIVED
DEC 29 2000
1C 2100 MAILROOM

CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service as First-Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 21st day of December.



Signature of Person Mailing Person

David Chametzky
Name of Person Mailing Paper

AMENDMENT AND RESPONSE

Please amend Claim 10 as follows:

A. E2
10 (Amended). The method of claim 9 wherein said -- indemnification is a -- financial instrument [is] designed to protect against loss associated with interruptions in the delivery of said second tier commodity.

Remarks

This paper is responsive to the Office Action mailed October 2, 2000. Reconsideration of the subject application is respectfully requested.



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

2766

2131

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

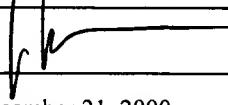
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/330,446
		Filing Date	6/11/99
		First Named Inventor	FORD, Robert
		Group Art Unit	2766
		Examiner Name	NGUYEN, Leslie
Total Number of Pages in This Submission	13	Attorney Docket Number	23312 - 1001

RECEIVED
DEC 29 2000
MAIL ROOM

ENCLOSURES (check all that apply)

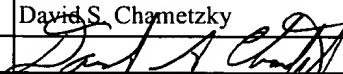
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John M. Johnson
	Reg. No. 33,334
Signature	
Date	December 21, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	David S. Chametzky
Signature	
Date	December 21, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.